## **EDITORIAL**

# The yin and yang of gastrostomy in the management of ALS

Friend or foe?

Mamede de Carvalho, MD, PhD Clifton L. Gooch, MD

Correspondence to Dr. de Carvalho: mamedemg@mail.telepac.pt

Neurology® 2017;89:1-2

Though we do not yet have a cure for amyotrophic lateral sclerosis (ALS), we can provide treatment, and the host of medical and other interventions provided by ALS specialists and multidisciplinary care teams increases survival and substantially improves quality of life for patients and their families. Dysphagia is one of the most consequential symptoms in ALS, and ultimately affects the majority of patients. It causes dehydration, weight loss, choking, and chronic aspiration, which substantially increase the risk of potentially fatal aspiration pneumonia. Weight loss alone worsens progression and survival in ALS,1 presumably because caloric deficit due to dysphagia promotes muscle catabolism, adding to underlying muscle loss from motor neuron death, thereby accelerating weakness and decline. In attempts to circumvent this cascade, enteral tube feeding has been used in the management of ALS for many years, principally via percutaneous endoscopic gastrostomy (PEG).2 However, gastrostomy tube placement can be hazardous in advanced cases, as impaired respiratory function may increase the risk of respiratory arrest during sedation, as well as ventilator dependence postoperatively. Consequently, gastrostomy tubes are typically only recommended for those patients having forced vital capacity (FVC) >50% of the predicted normal value.<sup>3,4</sup>

Despite widespread adoption, however, there are no randomized controlled trials proving the superiority of PEG over other enteral feeding techniques, or over oral feeding with blended foods. Numerous observational studies suggest a survival benefit with PEG, but this has not been definitively proven.<sup>5-8</sup> This putative survival benefit is constrained by the severity of the disease,9 weight loss before PEG,6,7,10 age, 10,11 and, most importantly, poor respiratory function (FVC <50%) at the time of PEG placement.9,10,12 Increased caloric intake after PEG was associated with improved survival in several studies,<sup>5,7</sup> and quality of life (QOL) scores improved following PEG insertion in one study, 13,14 though not in another. 15 Gastrostomy insertion is relatively safe patients with ALS overall<sup>6,10</sup> (with severe complications occurring in about 2% of cases, independent of the specific method used)<sup>10</sup> and, after tube placement, 75% of patients live 6 months or longer.<sup>16</sup> In recent years, a less invasive method, the radiologically inserted gastrostomy, has been increasingly employed; it is less invasive than PEG and appears otherwise equivalent, but could be safer (theoretically) in patients with respiratory dysfunction, as it employs a smaller tube and usually requires less sedation.<sup>2</sup>

However, not all studies have shown benefit, and one study of 331 patients enrolled in other ALS clinical trials reported a more rapid decline and an increased mortality risk associated with gastrostomy.<sup>17</sup> In this issue of Neurology®, McDonnell and coauthors18 explored whether gastrostomy affects survival and QOL in a database of 481 patients with ALS enrolled at multiple sites in a nationwide trial of ceftriaxone for the treatment of ALS. Gastrostomy was implemented in 47% of these patients. No evidence of improved QOL was seen in this cohort and, furthermore, survival was worse for this group than for those not undergoing gastrostomy. Importantly, approximately one-third of those receiving gastrostomy had a FVC ≤50% at the time of the procedure, which may explain the adverse association with survival.18 Some limitations of the study include its retrospective, nonrandomized design, incorporating data from multiple sites collected during the course of another study (i.e., not population-based), and the lack of reports/analysis of the types of gastrostomy employed or the frequency of ventilation and type of ventilation needed during the procedure (noninvasive vs invasive), among others.

Notwithstanding these results and the lack of Level I evidence, gastrostomy appears safe and likely beneficial for appropriately selected patients with ALS, based upon a preponderance of the available data, as well as the clinical experience of many prominent ALS centers over many years. However, its benefits are greatly dependent upon judicious patient selection, appropriate timing of the procedure, and management during and after the procedure. An

See page XXX

From the Physiology Institute (M.d.C.), Instituto de Medicina Molecular, Faculty of Medicine, University of Lisbon; Department of Neurosciences and Mental Health (M.d.C.), Hospital de Santa Maria-CHLN, Lisbon, Portugal; and Department of Neurology (C.L.G.), University of South Florida Morsani College of Medicine, Tampa.

Go to Neurology.org for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the editorial.

overly aggressive policy for gastrostomy placement in patients with ALS may shorten their survival and increase the risk of death, particularly in patients already having respiratory compromise, while excessively early placement exposes them to some procedural risk,19 and may decrease QOL during what should be their best remaining months. Ideally, patients should have dysphagia, or substantial weight loss or aspiration, prior to gastrostomy, but should also have preserved respiratory function with FVC >50%. Some patients with early or rapid respiratory decline and FVC >50% may also be considered candidates even in the absence of dysphagia, as they might be disqualified by FVC criteria by the time dysphagia becomes apparent, and patients with severe chronic aspiration alone might also qualify in the absence of dysphagia, to decrease the risk of aspiration pneumonia. During the procedure itself, light sedation is often employed, but in persons with respiratory function approaching the critical threshold, local anesthesia alone may be considered. If ventilatory support is required intraoperatively, noninvasive ventilation is preferred, with intubation used only if absolutely necessary. Without careful attention to these critical details, the procedure can be deleterious and may even hasten the patient's death. Future studies should focus on better methods to ensure appropriate nutrition and to control and circumvent dysphagia and aspiration in patients with ALS.

## STUDY FUNDING

No targeted funding reported.

#### **DISCLOSURE**

The authors report no conflict of interest. Go to Neurology.org for full disclosures.

#### **REFERENCES**

- Desport JC, Preux PM, Truong CT, Courat L, Vallat JM, Couratier P. Nutritional assessment and survival in ALS patients. Amyotroph Lateral Scler Other Motor Neuron Disord 2000;1:91–96.
- Stavroulakis T, Walsh T, Shaw PJ, McDermott CJ. Gastrostomy use in motor neurone disease (MND): a review, meta-analysis and survey of current practice. Amyotroph Lateral Scler Frontotemporal Degener 2013;14:96–104.
- EFNS Task Force on Diagnosis and Management of Amyotrophic Lateral Sclerosis, Andersen PM, Abrahams S, et al.
  EFNS guidelines on the clinical management of amyotrophic lateral sclerosis (MALS): revised report of an EFNS task force. Eur J Neurol 2012;19:360–375.
- Miller RG, Jackson CE, Kasarskis EJ, et al; Quality Standards Subcommittee of the American Academy of Neurology. Practice parameter update: the care of the

- patient with ALS: drug, nutritional, and respiratory therapies. Neurology 2009;73:1218–1226.
- Spataro R, Ficano L, Piccoli F, La BV. Percutaneous endoscopic gastrostomy in amyotrophic lateral sclerosis: effect on survival. J Neurol Sci 2011;304:44

  –48.
- Dorst J, Dupuis L, Petri S, et al. Percutaneous endoscopic gastrostomy in amyotrophic lateral sclerosis: a prospective observational study. J Neurol 2015;262:849–858.
- Fasano A, Fini N, Ferraro D, Ferri L, Vinceti M, Mandrioli J. Percutaneous endoscopic gastrostomy, body weight loss and survival in amyotrophic lateral sclerosis: a population-based registry study. Amyotroph Lateral Scler Frontotemporal Degener 2017;18:233–242.
- Burkhardt C, Neuwirth C, Sommacal A, Andersen PM, Weber M. Is survival improved by the use of NIV and PEG in amyotrophic lateral sclerosis (ALS)? A post-mortem study of 80 ALS patients. PLoS One 2017;12:e0177555.
- Nagashima K, Furuta N, Makioka K, Fujita Y, Ikeda M, Ikeda Y. An analysis of prognostic factors after percutaneous endoscopic gastrostomy placement in Japanese patients with amyotrophic lateral sclerosis. J Neurol Sci 2017;376:202–205.
- ProGas Study Group. Gastrostomy in patients with amyotrophic lateral sclerosis (ProGas): a prospective cohort study. Lancet Neurol 2015;14:702–709.
- Pena MJ, Ravasco P, Machado M, et al. What is the relevance of percutaneous endoscopic gastrostomy on the survival of patients with amyotrophic lateral sclerosis? Amyotroph Lateral Scler 2012;13:550–554.
- Kasarskis EJ, Scarlata D, Hill R, Fuller C, Stambler N, Cedarbaum JM. A retrospective study of percutaneous endoscopic gastrostomy in ALS patients during the BDNF and CNTF trials. J Neurol Sci 1999;169:118–125.
- Gregory S, Siderowf A, Golaszewski AL, McCluskey L. Gastrostomy insertion in ALS patients with low vital capacity: respiratory support and survival. Neurology 2002;58:485–487.
- Körner S, Hendricks M, Kollewe K, et al. Weight loss, dysphagia and supplement intake in patients with amyotrophic lateral sclerosis (ALS): impact on quality of life and therapeutic options. BMC Neurol 2013;13:84.
- Zamietra K, Lehman EB, Felgoise SH, Walsh SM, Stephens HE, Simmons Z. Non-invasive ventilation and gastrostomy may not impact overall quality of life in patients with ALS. Amyotroph Lateral Scler 2012;13:55–58.
- Katzberg HD, Benatar M. Enteral tube feeding for amyotrophic lateral sclerosis/motor neuron disease. Cochrane Database Syst Rev 2011;CD004030.
- 17. Atassi N, Cudkowicz ME, Schoenfeld DA. Advanced statistical methods to study the effects of gastric tube and non-invasive ventilation on functional decline and survival in amyotrophic lateral sclerosis. Amyotroph Lateral Scler 2011;12:272–277.
- McDonnell E, Schoenfeld D, Paganoni S, Atassi N. Causal inference methods to study gastric tube use in amyotrophic lateral sclerosis. Neurology 2017;89:xx-xxx.
- Pinto S, Swash M, de Carvalho M. Does surgery accelerate progression of amyotrophic lateral sclerosis? J Neurol Neurosurg Psychiatry 2014;85:643–646.



## The yin and yang of gastrostomy in the management of ALS: Friend or foe?

Mamede de Carvalho and Clifton L. Gooch Neurology published online September 1, 2017 DOI 10.1212/WNL.0000000000004547

# This information is current as of September 1, 2017

**Updated Information &** including high resolution figures, can be found at:

Services http://www.neurology.org/content/early/2017/09/01/WNL.00000000000

004547.full.html

**Permissions & Licensing** Information about reproducing this article in parts (figures, tables) or in

its entirety can be found online at:

http://www.neurology.org/misc/about.xhtml#permissions

**Reprints** Information about ordering reprints can be found online:

http://www.neurology.org/misc/addir.xhtml#reprintsus

*Neurology* ® is the official journal of the American Academy of Neurology. Published continuously since 1951, it is now a weekly with 48 issues per year. Copyright © 2017 American Academy of Neurology. All rights reserved. Print ISSN: 0028-3878. Online ISSN: 1526-632X.

